



# International policy frameworks impacting the conservation, access, and use of PGR

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# Outline

- 1) Current International policy frameworks affecting the conservation, access and use of non-commercial PGR (NC PGR): **key elements**
- 2) A comparison of frameworks according to their impact on the PGR community. Material Transfer Agreements and ABS regimes.
- 3) Remaining challenges (**PGR community approach**)





# 1) International policy frameworks (IPFs) for PGR: key elements



Prior to 1992  
“Open Access”?  
Commons?

## Turning point

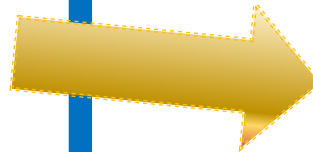
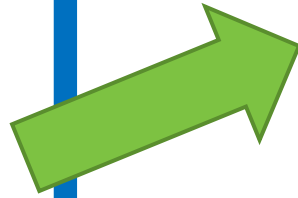
UN Convention on Biological Diversity (1992)

Signed by 196 countries

(except. US and Holy See)



**RIO EARTH SUMMIT, 1992**



Any PGR. 2014. The Nagoya Protocol of 2010 entered into force. **GENERAL INSTRUMENT** for the implementation of CBD. **Members 140**

For some\* PGRFA. 2004. The FAO International Treaty on PGRFA of 2002 entered into force. An **SPECIALIZED instrument** for the implementation of CDB. **Members: 149 + US**

Since 1992 (or earlier). Countries may develop **national REGULATIONS** on the Access, Conservation and Use of their own resources.





# Impact of the paradigm shift and its implementation

## Key elements of the paradigm shift:

- 1) ACU of PGR is regulated as a part of a GLOBAL ALLIANZ towards sustainable development for all humans. A new scenario for the Global Environmental cooperation
- 2) A-C-U are considered **strategical**.
- 3) **control and ownership** of PGR belong to **sovereign** states; so does the **responsibility to conserve** them;
- 4) On a voluntary basis and without no deadline, systems are to be placed in order to **facilitate access** for environmentally sound purposes .
- 5) *Equitable benefit sharing (between users and providers) is to be granted*

## Practicalities of the implementation

Signing CDB, ITPGRFA and/or Nagoya **does not mean** that automatically all the PGR of a country get a **LEGALLY CLEAR STATUS** in terms of A-C-U. Because:

- 1) The international frameworks ENCOURAGE but do not oblige. National and international framework coexist.
- 2) Even for the pools that are shared (e.g.) within the ITPGRFA the regulation is still evolving
- 3) Cataloguing and ex situ conservation have more clear status than other UTILIZATION. That has created de facto a deep divide that does not have a legal basis.

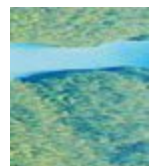


## 2) A comparison of frameworks according to their impact on the PGR community



# By the Plant Treaty and CDB-Nagoya Protocol:

- Conservation is ENCOURAGED (though it is of state responsibility).
- Countries of ORIGIN are asked to FACILITATE ACCESS to PGR (in situ, ex situ).
- The terms of USE of the PGR are specifically set out in the material transfer agreements (MTA) and include an ABS regime



- IN-SITU**  
 • FOUND WITHIN ECOSYSTEMS AND NATURAL HABITATS
- EX-SITU**  
 • FOUND IN BOTANICAL GARDENS, COMMERCIAL OR UNIVERSITY COLLECTIONS



TRADITIONAL KNOWLEDGE



PROVIDERS

PRIOR INFORMED CONSENT (PIC)

MUTUALLY AGREED TERMS (MAT)



USERS

BENEFITS

- STATES HAVE SOVEREIGN RIGHTS OVER NATURAL RESOURCES
- COMPETENT NATIONAL AUTHORITIES (CNAs) IN THESE STATES GRANT USERS ACCESS TO THESE RESOURCES

- RESEARCHERS
- UNIVERSITIES
- INDUSTRIES

**MONETARY**

- ROYALTY PAYMENTS
- JOINT OWNERSHIP OF INTELLECTUAL PROPERTY RIGHTS

**NON-MONETARY**

- RESEARCH AND DEVELOPMENT
- TRAINING AND EDUCATION
- TRANSFER OF TECHNOLOGY

Source: Secretariat of the CBD, 2010





# Comparison of frameworks in terms of MTA and ABS

- **Bilateral agreements** are possible between the country of origin and third parties (on the basis of the CDB).
- The **FAO Plant Treaty** has developed:
  - a **Standard Transfer Material Agreement** covering all the relevant provisions in identical form for all cases;
  - offers a unique (and harmonised) understanding of the **EQUITABLE BENEFIT SHARING** and its practicalities: the so called **Multilateral ABS system**
- The **Governing Body of Nagoya Protocol** is also working towards a **MLS**

Bilateral agreements need **specific MTA**, but ABS regime could be negotiated among the parties.

Multilateral systems promote a **STANDARD MTA**, an a harmonized ABS regime (with a common **BENEFIT FUND**)

# Advantages/ disadvantages MLS

## Potential advantages

- Broadest possible basis for access and ABS, including CWR in the case of PGRFA. **Being built also within the Nagoya Protocol**
- **Avoids lengthy negotiations** (crop by crop)
- Potentially the ITPGRFA offers a space in which to negotiate the extension of the treaty to more crops (150 countries); Nagoya Protocol offers an space for “all the other PGR”
- Much effort by FAO and other institutions in its development; including SMTAs etc.

## Disadvantages

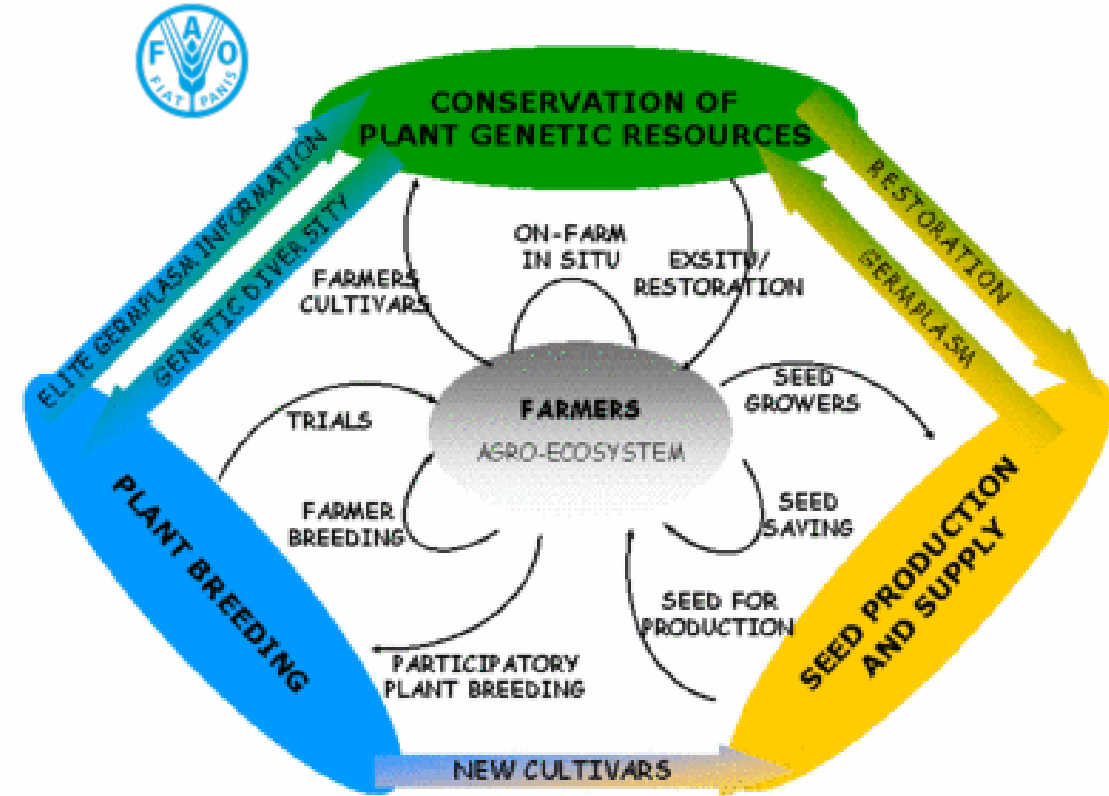
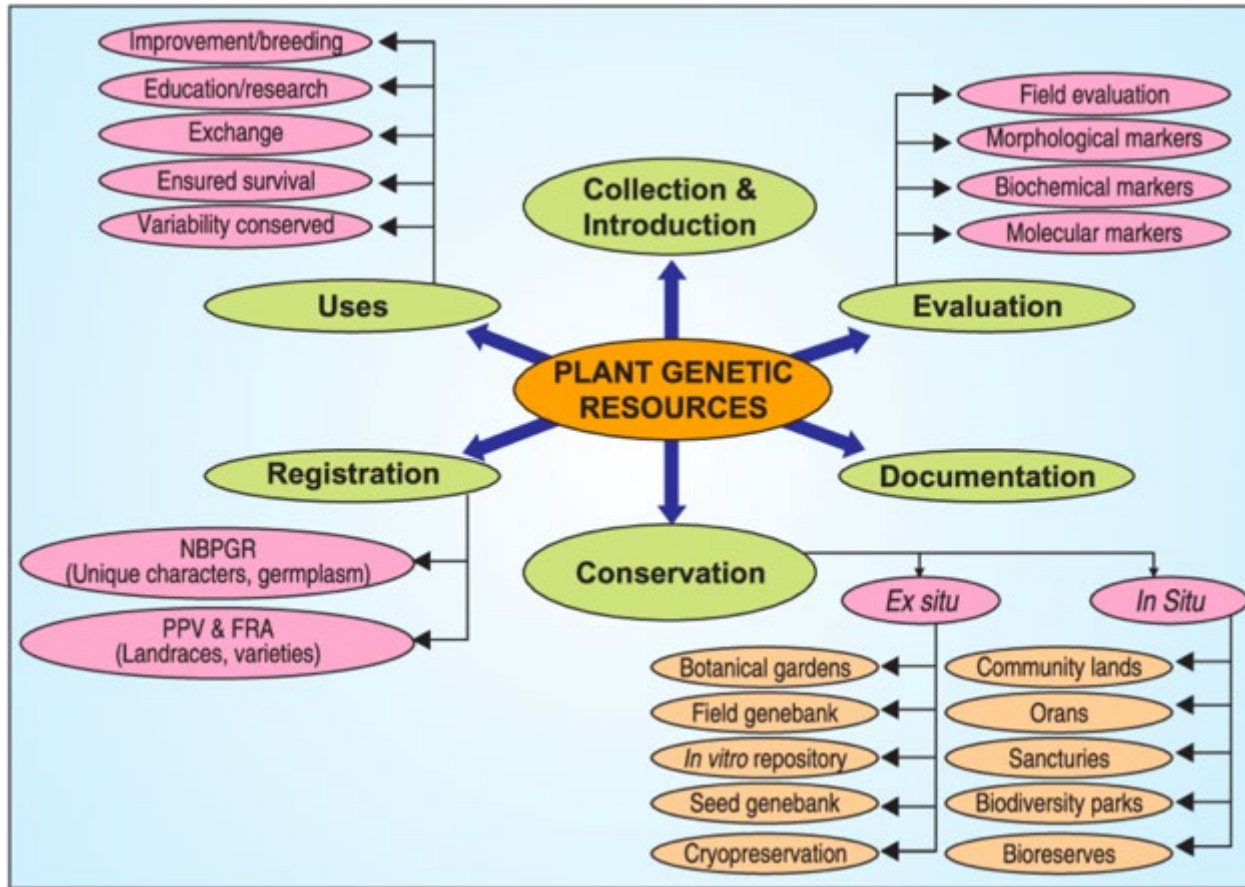
- **Advantages are potential and dependent on the efforts (and will) of the countries**
- MLS offers an harmonized “*equitable BS*”; **in a bilateral negotiation some parties may get more.**
- **Works more easily on PGR that are *ex situ*, than *in situ*.**
- The Standard MTA has incorporated LONGLIFE burdens to the comercial use of any of the PGR shared through the MLS

# 3) Remaining challenges:

Perspective of EU PGR community



International PFs on PGR were designed *from top to bottom*, in a wider background, but severely affect, case by case, specific possibilities of CONSERVATION, ACCESS and USE.



Bhatt, R. & Singh, Jai & P, Rajora & Saha, Dipankar & Kalia, R.. (2018). Plant Genetic Resources in Hot Arid Region. 68. 115-120.

<https://www.fao.org/agriculture/crops/core-themes/theme/seeds-and-plant-genetic-resources/en/>

- The Rio Earth Summit 1992 represents **a commitment by all countries of the world** to ensure *a more dignified and environmentally healthy life for all people*. Contributions must continue to be made to that goal.
- From the perspective of the PGR community, however, it should be recalled:
  - That access, conservation and use of PGRs are not prohibited by the CBD. **On the contrary: they are encouraged as critical** to the objectives of the CBD.
  - Lacks of legal certainty, bureaucratic difficulties and unnecessary burdens warn the EU PGR community AGAINST the use of post-1992 PGR. Even regarding PGR from other EU countries. EU breeders are the most affected by this situation.

# We need a new approach to deal with this 30 year-long 'impasse'

- The PGR community needs a virtual one-stop desk that OFFERS:
  - expert in a simplified way on the availability and selection of PGRs for different purposes of use,
  - and help for the completion of all procedures to access and use PGR.
- PGR community needs to generate, in cooperation with EU authorities, better possibilities for access and in situ conservation.
- PGR community needs help to overcome the deep divide, that separates (legally, bureaucratically or sometimes simply mentally):
  - conservation and basic research uses
  - breeding activities



# THANK YOU



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